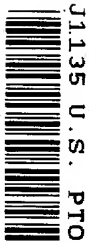


12/20/01



J1135 U.S. PTO

12-27-01

A

CERTIFICATE OF EXPRESS MAILING

EXPRESS MAIL Mailing Label Number EV006151984US

Date of Deposit: Dec 20, 2001

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the U.S. Patent and Trademark Office, Box Patent Application, Box 2327, Arlington, VA 22202

Name: Melissa Scanzillo

Signature:

Clifford Chance Rogers & Wells LLP



12/20/01

Docket No. 8173-200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL

U.S. Patent and Trademark Office
Box Patent Application, P.O. Box 2327
Arlington, VA 22202

Sir:

Transmitted herewith for filing is a utility patent application

Of: Terry N. Williams

For: DYNAMIC ELECTRONIC CHAIN-OF-TRUST DOCUMENT WITH AUDIT TRAIL

ENCLOSED are the following:

1. ☒ Specification (29 pages), abstract (1 page) and claims (10 pages) (40 pages)
2. ☒ 7 sheets of ☒ formal ☐ informal drawings (Figs. 1-6)
Suggested Figure for Publication: 4
3. ☒ Declaration
☐ signed ☒ unsigned
☐ Copy from a prior application (for continuation/divisional)
4. ☐ Application Data Sheet
5. ☐ Preliminary Amendment
6. ☐ Information Disclosure Statement and PTO-1449
7. ☐ Assignment and Assignment Recordation sheet (*Fee calculated separately*)
8. ☐ The prior application is assigned to: _____
The assignment was recorded on _____ at reel _____ and frame _____.
☐ A copy of the assignment from the prior application is enclosed.
9. ☐ Non-Publication Request
10. ☒ Other: Appendix A1 through A22

NOTE the following:

11. ☐ This application is made under 37 C.F.R. § 1.53 (b) and is a
☐ Continuation ☐ Continuation-In-Part ☐ Divisional
of Prior Application No. _____ filed _____
12. ☐ An Extension of time is filed concurrently herewith for the parent application.

13. Small Entity Status:

- ☒ Applicant is a small entity
☐ Small entity status claimed in the parent application is no longer proper

14. ☐ Applicants claim priority from the following application(s) under 35 U.S.C. § 119:15. ☒ Applicants claim priority from the following application(s) under 35 U.S.C. § 120:

Serial Number
60/258,297

Country
US

Filing Date
12/22/00

Certified Copy Encl.
N

- 15.
- ☐
- Cancel claims _____ prior to calculation of the filing fee.

FILING FEE: calculated below (after accounting for any preliminary amendment or claims cancellations if noted above):

| | | | |
|-----------------------------------|------------------------------|------------------------|------------|
| Basic Filing Fee | | | \$740.00 |
| Total No. Claims 41 | Extra Claims(Total-20) 21 | Fee / claim \$18.00 | \$378.00 |
| Total No. Independent Claims 4 | Extra Independ.(No.-3) 1 | Fee / claim \$84.00 | \$84.00 |
| Multiple dependent claim? + \$280 | | | \$0 |
| Sub-Total | | | \$1,202.00 |
| Small Entity? less 50% | | | -601.00 |
| TOTAL FEE DUE | | | \$601.00 |

16. ☐ A check in the amount of _____ is enclosed (must at least cover the basic fee).
☒ The Commissioner is authorized to charge \$601.00 in connection with this communication to Deposit Account No. 50-0521.

In the event that a petition for extension of time is required to be submitted in the pending prior application, and in the event that a separate petition for the pending prior application is not filed on even date, applicant hereby petitions under 37 C.F.R.1.136(a) for an extension of time in the pending prior application of as many months as are required to render this submission timely. The Commissioner is authorized to charge any fees due in connection with such a petition to Deposit Account No. 50-0521.

The Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 50-0521.

A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: Dec 20, 2001

Mark V. Rueh
Mark Rueh
Reg. No. 43,896

Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166-0153
Telephone: (212) 878-8098

Patent Application transmittal
Docket No.8173-200